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October 2, 2003

Attorney Docket No.: 12361-027001

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Presented for filing is a new patent application claiming priority from a provisional patent application of:

Applicant: LIANSHAN YAN, X. STEVE YAO AND YONGQIANG SHI

Title: METHODS AND SYSTEMS FOR DYNAMIC CONTROL OF POLARIZATION OF AN OPTICAL SIGNAL

FR

BOSTON
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TWIN CITIES
WASHINGTON, DC

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	Pages
Specification	45
Claims	2
Abstract	1
Declaration	[To be Filed at a Later Date]
Drawing(s)	30

Enclosures:
- — Request for NonPublication, 1 page.
- — Postcard.

Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/416,299, filed October 3, 2002.

This application is entitled to small entity status.

Basic filing fee	$0
Total claims in excess of 20 times $9	$0
Independent claims in excess of 3 times $43	$0
Fee for multiple dependent claims	$0
Total filing fee:	$0

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 348188379 US

October 2, 2003
Date of Deposit

Under 37 CFR §1.53(f), no filing fee is being paid at this time.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

20985
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Respectfully submitted,

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PTO/SB/35 (11-00)
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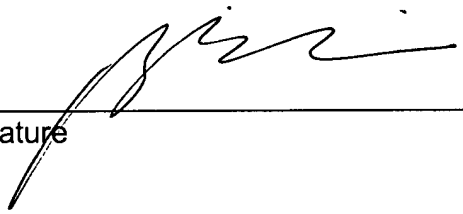
REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)		
	First Named Inventor	Lianshan Yan et al.
	Title	Methods and Systems for Dynamic Control of Polarization of an Optical Signal
	Atty Docket Number	12361-027001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 2, 2003
Date


Signature

Bing Ai
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to completed this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.